

# CHANGE OF BASIS

## Transformation Operator

Suppose we have two incompatible observables  $A$  and  $B$ . The ket space in question can be viewed as being spanned either by the set  $\{|a'\rangle\}$  or by the set  $\{|b'\rangle\}$ .

Our basic task is to construct a transformation operator that connects the old orthonormal set  $\{|a'\rangle\}$  and the new orthonormal set  $\{|b'\rangle\}$ .

To this end, we first show the following:

**Theorem.** Given two sets of base kets, both satisfying orthonormality and completeness, there exists a unitary operator  $U$  such that

$$|b^{(1)}\rangle = U|a^{(1)}\rangle, |b^{(2)}\rangle = U|a^{(2)}\rangle, \dots, |b^{(N)}\rangle = U|a^{(N)}\rangle$$

**Proof.** We prove this theorem by explicit construction. We assert that the following operator will do the job:

$$U = \sum_k |b^{(k)}\rangle \langle a^{(k)}|$$



$$U|a^{(l)}\rangle = |b^{(l)}\rangle$$



$$U^\dagger U = \sum_k \sum_l |a^{(l)}\rangle \langle b^{(l)}| b^{(k)}\rangle \langle a^{(k)}| = \sum_k |a^{(k)}\rangle \langle a^{(k)}| = 1$$



# Transformation Matrix

$$U = \sum_k |b^{(k)}\rangle \langle a^{(k)}|$$



$$U|a^{(l)}\rangle = |b^{(l)}\rangle$$

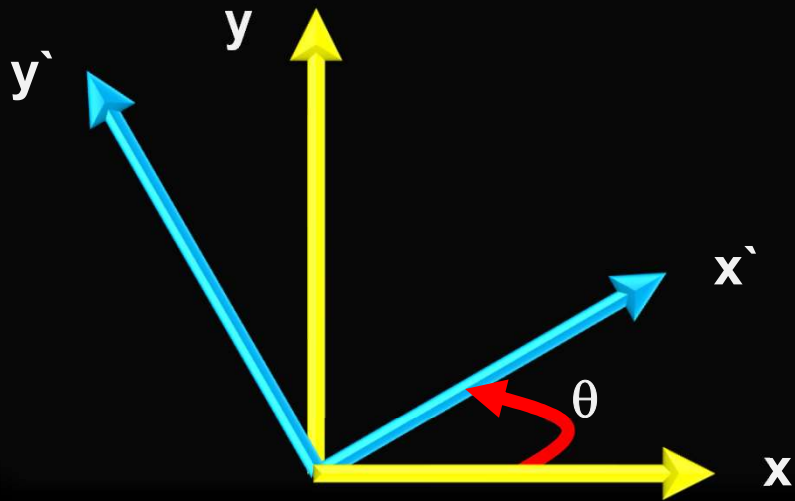


$$\langle a^{(k)}|U|a^{(l)}\rangle = \langle a^{(k)}|b^{(l)}\rangle$$

In other words, the matrix elements of the  $U$  operator are built up of the inner products of old base bras and new base kets.

The square matrix made up of  $\langle a^{(k)}|U|a^{(l)}\rangle$  is referred to as the transformation matrix from the  $\{|a'\rangle\}$  basis to the  $\{|b'\rangle\}$  basis.

We recall that the rotation matrix in three dimensions that changes one set of unit base vectors  $(\mathbf{x}, \mathbf{y}, \mathbf{z})$  into another set  $(\mathbf{x}', \mathbf{y}', \mathbf{z}')$  can be written as:



$$R = \begin{pmatrix} \hat{\mathbf{x}} \cdot \hat{\mathbf{x}}' & \hat{\mathbf{x}} \cdot \hat{\mathbf{y}}' & \hat{\mathbf{x}} \cdot \hat{\mathbf{z}}' \\ \hat{\mathbf{y}} \cdot \hat{\mathbf{x}}' & \hat{\mathbf{y}} \cdot \hat{\mathbf{y}}' & \hat{\mathbf{y}} \cdot \hat{\mathbf{z}}' \\ \hat{\mathbf{z}} \cdot \hat{\mathbf{x}}' & \hat{\mathbf{z}} \cdot \hat{\mathbf{y}}' & \hat{\mathbf{z}} \cdot \hat{\mathbf{z}}' \end{pmatrix}$$

$$\hat{\mathbf{x}}' = \hat{\mathbf{x}} \cos \theta + \hat{\mathbf{y}} \sin \theta$$

$$\hat{\mathbf{y}}' = -\hat{\mathbf{x}} \sin \theta + \hat{\mathbf{y}} \cos \theta$$

$$\hat{\mathbf{x}} \cdot \hat{\mathbf{x}}' = \cos \theta \quad \hat{\mathbf{x}} \cdot \hat{\mathbf{y}}' = -\sin \theta$$

$$\hat{\mathbf{y}} \cdot \hat{\mathbf{x}}' = \sin \theta \quad \hat{\mathbf{y}} \cdot \hat{\mathbf{y}}' = \cos \theta$$


$$\checkmark \quad R = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$



Given an arbitrary ket  $|\alpha\rangle$  whose expansion coefficients  $\langle a'|\alpha\rangle$  are known in the old basis, **how can we obtain  $\langle b'|\alpha\rangle$ , the expansion coefficients in the new basis?**

$$|\alpha\rangle = \sum_l |a^{(l)}\rangle \langle a^{(l)}|\alpha\rangle$$

$$(\text{New}) = (U^\dagger)(\text{old})$$

$$\langle b^{(k)}|\alpha\rangle = \sum_l \langle b^{(k)}|a^{(l)}\rangle \langle a^{(l)}|\alpha\rangle = \sum_l \langle a^{(k)}|U^\dagger|a^{(l)}\rangle \langle a^{(l)}|\alpha\rangle$$

$$U|a^{(l)}\rangle = |b^{(l)}\rangle$$

$$\langle a^{(l)}|U^\dagger = \langle b^{(l)}|$$

In matrix notation, it states that the column matrix for  $|\alpha\rangle$  in the new basis can be obtained just by applying the square matrix  $U^\dagger$  to the column matrix in the old basis:

The relationships between the old matrix elements and the new matrix elements are also easy to obtain:

$$\langle b^{(k)} | X | b^{(l)} \rangle = \sum_m \sum_n \langle b^{(k)} | a^{(m)} \rangle \langle a^{(m)} | X | a^{(n)} \rangle \langle a^{(n)} | b^{(l)} \rangle$$

$$= \sum_m \sum_n \langle a^{(k)} | U^\dagger | a^{(m)} \rangle \langle a^{(m)} | X | a^{(n)} \rangle \langle a^{(n)} | U | a^{(l)} \rangle$$

This is simply the well-known formula for a similarity transformation in matrix algebra,

$$X' = U^\dagger X U$$

The trace of an operator  $X$  is defined as the sum of diagonal elements:

$$\text{tr}(X) = \sum_{a'} \langle a' | X | a' \rangle$$

The trace of an operator  $X$  is independent of representation:

$$\sum_{a'} \langle a' | X | a' \rangle = \sum_{a'} \sum_{b'} \sum_{b''} \langle a' | b' \rangle \langle b' | X | b'' \rangle \langle b'' | a' \rangle$$

$$= \sum_{b'} \sum_{b''} \langle b'' | b' \rangle \langle b' | X | b'' \rangle = \sum_{b'} \langle b' | X | b' \rangle$$



We can also prove that

$$\text{tr}(XY) = \text{tr}(YX)$$

$$\text{tr}(XY) = \sum_{a'} \langle a' | XY | a' \rangle = \sum_{a'b'} \langle a' | X | b' \rangle \langle b' | Y | a' \rangle$$

$$= \sum_{a'b'} \langle b' | Y | a' \rangle \langle a' | X | b' \rangle$$

$$= \sum_{b'} \langle b' | YX | b' \rangle$$

$$= \sum_{a'} \langle a' | YX | a' \rangle = \text{tr}(YX) \checkmark$$



$$\text{tr}(U^\dagger X U) = \text{tr}(X)$$

$$\text{tr}(U^\dagger X U) = \sum_{a'} \langle a' | U^\dagger X U | a' \rangle$$

$$= \sum_{a' b' b''} \langle a' | U^\dagger | b' \rangle \langle b' | X | b'' \rangle \langle b'' | U | a' \rangle$$

$$= \sum_{a' b' b''} \langle b'' | U | a' \rangle \langle a' | U^\dagger | b' \rangle \langle b' | X | b'' \rangle$$

$$= \sum_{b' b''} \langle b'' | U^\dagger U | b' \rangle \langle b' | X | b'' \rangle$$

$$= \sum_{b' b''} \langle b'' | b' \rangle \langle b' | X | b'' \rangle$$

$$= \sum_{b'} \langle b' | X | b' \rangle = \text{tr}(X) \checkmark$$

$$\text{tr}(|a'\rangle\langle a''|) = \delta_{a'a''}$$

$$\text{tr}(|a'\rangle\langle a''|) = \sum_{b'} \langle b'|a'\rangle\langle a''|b'\rangle = \langle a''|a'\rangle = \delta_{a'a''} \quad \checkmark$$

$$\text{tr}(|b'\rangle\langle a'|) = \langle a'|b'\rangle$$

$$\text{tr}(|b'\rangle\langle a'|) = \sum_{c'} \langle c'|b'\rangle\langle a'|c'\rangle = \sum_{c'} \langle a'|c'\rangle\langle c'|b'\rangle$$

$$= \langle a'|b'\rangle \quad \checkmark$$

In summery we have

$$\text{tr}(XY) = \text{tr}(YX)$$

$$\text{tr}(U^\dagger XU) = \text{tr}(X)$$

$$\text{tr}(|a'\rangle\langle a''|) = \delta_{a'a''}$$

$$\text{tr}(|b'\rangle\langle a'|) = \langle a'|b'\rangle$$

## Diagonalization

$$B|b'\rangle = b'|b'\rangle$$

$$\sum_{a'} \langle a''|B|a'\rangle \langle a'|b'\rangle = b' \langle a''|b'\rangle$$

$$\begin{pmatrix} B_{11} & B_{12} & B_{13} & \dots \\ B_{21} & B_{22} & B_{23} & \dots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix} \begin{pmatrix} C_1^{(l)} \\ C_2^{(l)} \\ \vdots \end{pmatrix} = b^{(l)} \begin{pmatrix} C_1^{(l)} \\ C_2^{(l)} \\ \vdots \end{pmatrix}$$

$$B_{ij} = \langle a^{(i)}|B|a^{(j)}\rangle$$

$$C_k^{(l)} = \langle a^{(k)}|b^{(l)}\rangle$$

$$\det(B - \lambda 1) = 0$$

For diagonalization procedure the Hermiticity of B is important.

For example,  $S_+$  operator which is obviously non-Hermitian cannot be diagonalized by any unitary matrix.

$$S_+ \doteq \hbar \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$

The eigenkets of a non-Hermitian operator are known not to form a complete orthonormal set, and the formalism we have developed in this section cannot be immediately applied.



# Unitary Equivalent Observables

**Theorem.** Consider again two sets of orthonormal basis  $\{|a'\rangle\}$  and  $\{|b'\rangle\}$  connected by the  $U$  operator. Knowing  $U$ , we may construct a unitary transform of  $A$ ,  $UAU^{-1}$ ; then  $A$  and  $UAU^{-1}$  are said to be unitary equivalent observables.

$$U = \sum_k |b^{(k)}\rangle \langle a^{(k)}|$$

$$U|a^{(l)}\rangle = |b^{(l)}\rangle$$

$$A|a^{(l)}\rangle = a^{(l)}|a^{(l)}\rangle$$



$$UAU^{-1}U|a^{(l)}\rangle = a^{(l)}U|a^{(l)}\rangle$$

This deceptively simple result is quite profound.

$$(UAU^{-1})|b^{(l)}\rangle = a^{(l)}|b^{(l)}\rangle$$

$$A|a^{(l)}\rangle = a^{(l)}|a^{(l)}\rangle$$



$$(UAU^{-1})|b^{(l)}\rangle = a^{(l)}|b^{(l)}\rangle$$

It tells us that the  $|b^{(l)}\rangle$ 's are eigenkets of  $UAU^{-1}$  with exactly the same eigenvalues as the  $A$  eigenvalues.

In other words, unitary equivalent observables have identical spectra.

$$B|b^{(l)}\rangle = b^{(l)}|b^{(l)}\rangle$$

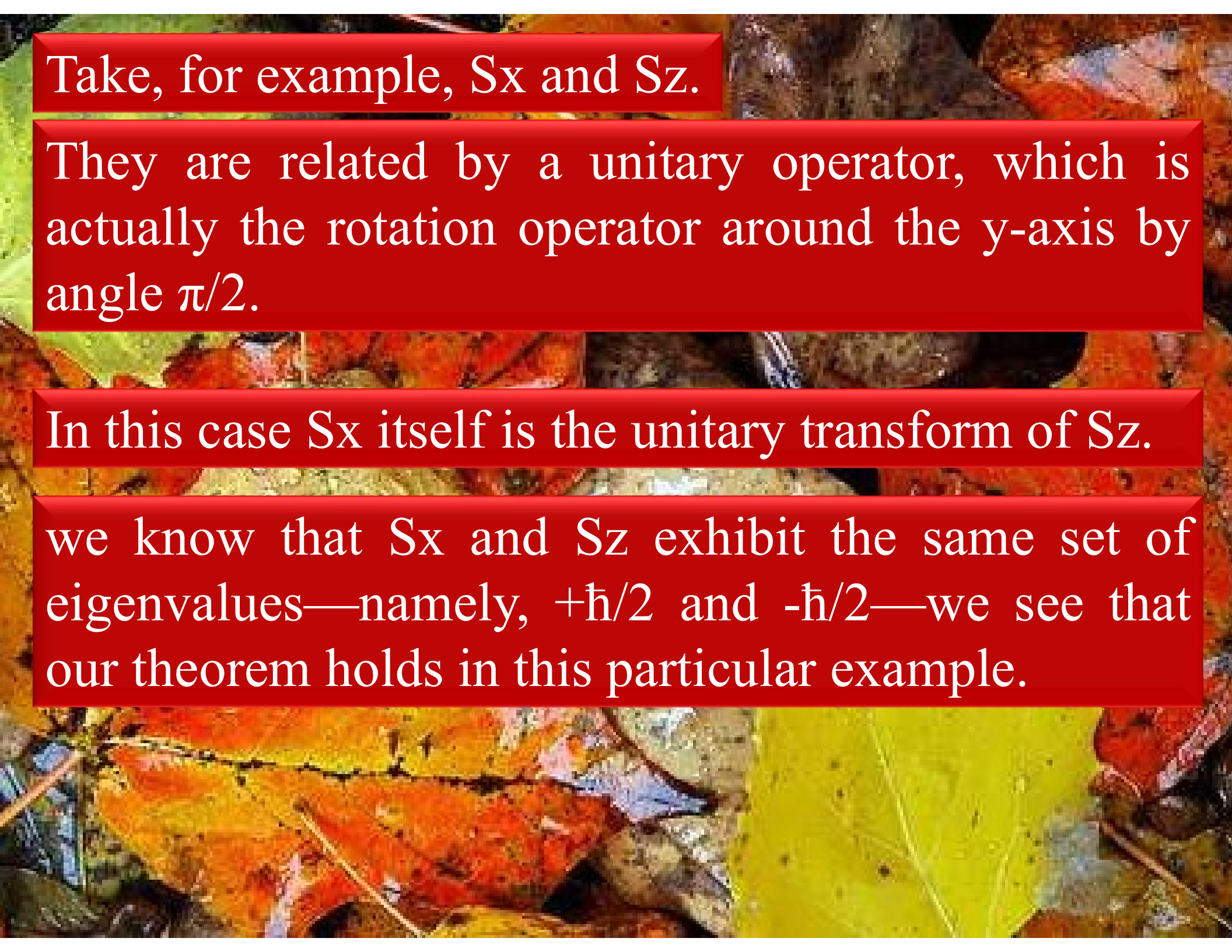


$$(UAU^{-1})|b^{(l)}\rangle = a^{(l)}|b^{(l)}\rangle$$

we infer that  $B$  and  $UAU^{-1}$  are simultaneously diagonalizable.

Is  $UAU^{-1}$  the same as  $B$  itself?

The answer quite often is yes in cases of physical interest.

The background of the slide is a close-up photograph of autumn leaves. The leaves are in various shades of orange, red, and yellow, with some green still visible. They are scattered and overlapping, creating a textured, natural background.

Take, for example,  $S_x$  and  $S_z$ .

They are related by a unitary operator, which is actually the rotation operator around the  $y$ -axis by angle  $\pi/2$ .

In this case  $S_x$  itself is the unitary transform of  $S_z$ .

we know that  $S_x$  and  $S_z$  exhibit the same set of eigenvalues—namely,  $+\hbar/2$  and  $-\hbar/2$ —we see that our theorem holds in this particular example.

# Continuous Spectra

The observables considered so far have all been assumed to exhibit discrete eigenvalue spectra. In quantum mechanics, however, there are observables with continuous eigenvalues.

$$\langle a' | a'' \rangle = \delta_{a' a''}$$

$$\sum_{a'} |a'\rangle \langle a'| = 1$$

$$|\alpha\rangle = \sum_{a'} |a'\rangle \langle a' | \alpha \rangle$$

$$\sum_{a'} |\langle a' | \alpha \rangle|^2 = 1$$

$$\langle \beta | \alpha \rangle = \sum_{a'} \langle \beta | a' \rangle \langle a' | \alpha \rangle$$

$$\langle a'' | A | a' \rangle = a' \delta_{a' a''}$$

$$\langle \xi' | \xi'' \rangle = \delta(\xi' - \xi'')$$

$$\int d\xi' |\xi'\rangle \langle \xi'| = 1$$

$$|\alpha\rangle = \int d\xi' |\xi'\rangle \langle \xi' | \alpha \rangle$$

$$\int d\xi' |\langle \xi' | \alpha \rangle|^2 = 1$$

$$\langle \beta | \alpha \rangle = \int d\xi' \langle \beta | \xi' \rangle \langle \xi' | \alpha \rangle$$

$$\langle \xi'' | \xi | \xi' \rangle = \xi' \delta(\xi'' - \xi')$$



# Position Eigenkets and Position Measurements

$$x|x'\rangle = x'|x'\rangle$$

$$|\alpha\rangle = \int_{-\infty}^{\infty} dx' |x'\rangle \langle x'|\alpha\rangle$$

$$|\mathbf{x}'\rangle \equiv |x', y', z'\rangle$$

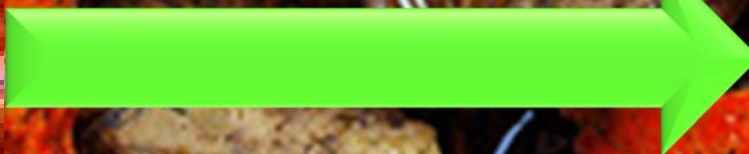
$$x|\mathbf{x}'\rangle = x'|\mathbf{x}'\rangle$$

$$y|\mathbf{x}'\rangle = y'|\mathbf{x}'\rangle$$

$$z|\mathbf{x}'\rangle = z'|\mathbf{x}'\rangle$$

$$[x_i, x_j] = 0$$

Measurement or Filtering



$$|\mathbf{x}'\rangle$$

The probability of finding the particle in  $x'$  to  $x'+dx'$

The probability of finding the particle in all of the space

To be able to consider such a simultaneous eigenket at all, we are implicitly assuming that the three components of the position vector can be measured simultaneously to arbitrary degrees of accuracy; hence:

$$|\langle x'|\alpha\rangle|^2 dx'$$

$$\int_{-\infty}^{\infty} dx' |\langle x'|\alpha\rangle|^2$$

$$\int_{-\infty}^{\infty} dx' \langle \alpha|x'\rangle \langle x'|\alpha\rangle = 1$$

$$\langle \alpha|\alpha\rangle = 1$$



# Translation

$$\mathcal{T}(d\mathbf{x}')|\mathbf{x}'\rangle = |\mathbf{x}' + d\mathbf{x}'\rangle$$

$$\mathcal{T}(d\mathbf{x}')|\alpha\rangle = \mathcal{T}(d\mathbf{x}') \int d^3x' |\mathbf{x}'\rangle \langle \mathbf{x}'|\alpha\rangle = \int d^3x' |\mathbf{x}' + d\mathbf{x}'\rangle \langle \mathbf{x}'|\alpha\rangle$$

$$\int d^3x' |\mathbf{x}' + d\mathbf{x}'\rangle \langle \mathbf{x}'|\alpha\rangle = \int d^3x' |\mathbf{x}'\rangle \langle \mathbf{x}' - d\mathbf{x}'|\alpha\rangle$$

$$\langle \alpha|\alpha\rangle = 1$$

1

$$\langle \alpha|\alpha\rangle = \langle \alpha|\mathcal{T}^\dagger(d\mathbf{x}')\mathcal{T}(d\mathbf{x}')|\alpha\rangle = 1$$

$$\mathcal{T}^\dagger(d\mathbf{x}')\mathcal{T}(d\mathbf{x}') = 1$$

Quite generally, the norm of a ket is preserved under unitary transformations.

$$\mathcal{T}(d\mathbf{x}'')\mathcal{T}(d\mathbf{x}') = \mathcal{T}(d\mathbf{x}' + d\mathbf{x}'')$$

2

$$\mathcal{T}(-d\mathbf{x}') = \mathcal{T}^{-1}(d\mathbf{x}')$$

3

$$\lim_{d\mathbf{x}' \rightarrow 0} \mathcal{T}(d\mathbf{x}') = 1$$

4

We now demonstrate that the following infinitesimal translation operator can satisfy all the 4 mentioned properties:

$$\mathcal{T}(d\mathbf{x}') = 1 - i\mathbf{K} \cdot d\mathbf{x}'$$

Where, the components of  $\mathbf{K}$ ,  $K_x$ ,  $K_y$ , and  $K_z$ , are Hermitian operators.

1

$$\mathcal{T}^\dagger(d\mathbf{x}')\mathcal{T}(d\mathbf{x}') = (1 + i\mathbf{K}^\dagger \cdot d\mathbf{x}')(1 - i\mathbf{K} \cdot d\mathbf{x}')$$

$$= 1 - i(\mathbf{K} - \mathbf{K}^\dagger) \cdot d\mathbf{x}' + 0[(d\mathbf{x}')^2] \approx 1 \quad \checkmark$$

2

$$\begin{aligned}\mathcal{T}(d\mathbf{x}'')\mathcal{T}(d\mathbf{x}') &= (1 - i\mathbf{K} \cdot d\mathbf{x}'')(1 - i\mathbf{K} \cdot d\mathbf{x}') \\ &\simeq 1 - i\mathbf{K} \cdot (d\mathbf{x}' + d\mathbf{x}'') \\ &= \mathcal{T}(d\mathbf{x}' + d\mathbf{x}'').\end{aligned}$$



The third and fourth properties are obvious and left for you as a practice.

What is the relation between the  $\mathbf{K}$  and the  $\mathbf{x}$  operators?

$$\mathbf{x} \mathcal{T}(d\mathbf{x}') |\mathbf{x}'\rangle = \mathbf{x} |\mathbf{x}' + d\mathbf{x}'\rangle = (\mathbf{x}' + d\mathbf{x}') |\mathbf{x}' + d\mathbf{x}'\rangle$$

$$\mathcal{T}(d\mathbf{x}') \mathbf{x} |\mathbf{x}'\rangle = \mathbf{x}' \mathcal{T}(d\mathbf{x}') |\mathbf{x}'\rangle = \mathbf{x}' |\mathbf{x}' + d\mathbf{x}'\rangle$$

$$[\mathbf{x}, \mathcal{T}(d\mathbf{x}')] |\mathbf{x}'\rangle = d\mathbf{x}' |\mathbf{x}' + d\mathbf{x}'\rangle \simeq d\mathbf{x}' |\mathbf{x}'\rangle$$



$$[\mathbf{x}, \mathcal{T}(d\mathbf{x}')] = d\mathbf{x}'$$

$$-i\mathbf{x}\mathbf{K} \cdot d\mathbf{x}' + i\mathbf{K} \cdot d\mathbf{x}'\mathbf{x} = d\mathbf{x}'$$

$$-i\mathbf{x}\mathbf{K}_j d\mathbf{x}_j + i\mathbf{K}_j d\mathbf{x}_j\mathbf{x} = d\mathbf{x}_j\mathbf{j}$$

$$-i\mathbf{x}\mathbf{K}_j + i\mathbf{K}_j\mathbf{x} = \mathbf{j}$$

$$-i(x_i\mathbf{K}_j - \mathbf{K}_j x_i) = 0$$

$$-i(x_j\mathbf{K}_j - \mathbf{K}_j x_j) = 1$$

$$[x_i, K_j] = i\delta_{ij}$$

$$[x_j, K_j] = i$$

$$[x_i, K_j] = 0$$